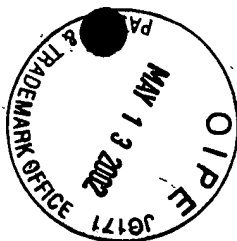


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1754
PATENT

- 1 -

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Richard E. Smalley et al.

Group Art Unit:
1754

Serial No.: 10/071,166

Examiner: Not Yet Assigned

Filed: February 8, 2002

Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON
NANOTUBES AND COMPOSITIONS THEREOF

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INFORMATION DISCLOSURE STATEMENT

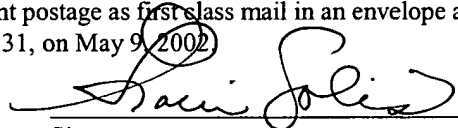
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on May 9, 2002.


Signature

Gracie Solis

(Printed name of person certifying)

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While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

By: 

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11321-P021US - 05/08/2002

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Serial No.: 10/071,166
Applicants: Richard E. Smalley et al.
Filing Date: February 8, 2002
Group: 1754
Atty. Docket No.: 11321-P021US

Reference Designation

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Translation	
						Yes	No
AAA	EP 1 061 042 A1	12/20/2000	Europe			Yes	
ABA	EP 1 061 040 A1	12/20/2000	Europe			Yes	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.